### <span id="page-0-0"></span>Selection Games on Continuous Functions

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Given an open set U of continuous functions  $f: X \to \mathbb{R}$ , when can we guarantee that there is an infinite subset of  $U$  which is closed discrete?

More generally, given a sequence of open sets  $U_n$ , when can we guarantee that there are choices  $f_n \in U_n$  so that  $\{f_n : n \in \omega\}$  is closed discrete?



## Selection Principles/Games

Suppose that  $\mathcal A$  and  $\mathcal B$  are collections of sets.

### $S_1(\mathcal{A}, \mathcal{B})$

For every sequence  $(A_n : n \in \omega)$  of sets from A, there are  $x_n \in A_n$  so that  $\{x_n : n \in \omega\} \in \mathcal{B}$ 

### $G_1(\mathcal{A}, \mathcal{B})$

 $S_1(A, B)$  can be turned into a two-player game  $G_1(A, B)$ :

$$
\begin{array}{c|cccc}\n1 & A_0 \in \mathcal{A} & A_1 \in \mathcal{A} & \cdots \\
\hline\n\text{II} & x_0 \in A_0 & x_1 \in A_1 & \cdots\n\end{array}
$$

- Player II wins a given run of the game if  $\{x_n : n \in \omega\} \in \mathcal{B}$ .
- Player I wins a given run of the game if  $\{x_n : n \in \omega\} \notin \mathcal{B}$ .

## Perfect Information Strategies

Fix a game  $G_1(\mathcal{A}, \mathcal{B})$ .

Perfect Information Strategy For Player I

$$
\begin{array}{c|ccccc}\n1 & \sigma(\emptyset) & \sigma(x_0) & \sigma(x_0, x_1) & \cdots \\
\hline\n\text{II} & x_0 & x_1 & x_2 & \cdots\n\end{array}
$$

 $\sigma$  is winning if it produces winning plays for I. If I has a winning strategy we write  $I \uparrow G_1(\mathcal{A}, \mathcal{B})$ .

Perfect Information Strategy for Player II

$$
\begin{array}{c|ccccc}\n1 & A_0 & A_1 & A_2 & \cdots \\
\hline\n\text{II} & \tau(A_0) & \tau(A_0, A_1) & \tau(A_0, A_1, A_2) & \cdots\n\end{array}
$$

 $\tau$  is winning if it produces winning plays for II. If II has a winning strategy we write  $\Pi \uparrow G_1(\mathcal{A}, \mathcal{B})$ .

#### Pre-Determined for Player I

$$
\begin{array}{c|ccccc}\n1 & \sigma(0) & \sigma(1) & \sigma(2) & \cdots \\
\hline\n\text{II} & x_0 & x_1 & x_2 & \cdots\n\end{array}
$$

If I has a winning pre-determined strategy we write  $I \uparrow_{pre} G_1(\mathcal{A}, \mathcal{B})$ 

Markov Strategy for Player II

$$
\begin{array}{c|ccccc}\n1 & A_0 & A_1 & A_2 & \cdots \\
\hline\n\text{II} & \tau(A_0, 0) & \tau(A_1, 1) & \tau(A_2, 2) & \cdots\n\end{array}
$$

If II has a winning Markov tactic we write II  $\uparrow_{mark} G_1(\mathcal{A}, \mathcal{B})$ .



### Dual and Equivalent Games

#### Equivalent Games

Say  $G_1(\mathcal{A}, \mathcal{C})$  and  $G_1(\mathcal{B}, \mathcal{D})$  are **equivalent** if

$$
\bullet \ \mathsf{I}\uparrow_{\mathsf{pre}} G_1(\mathcal{A}, \mathcal{C}) \iff \mathsf{I}\uparrow_{\mathsf{pre}} G_1(\mathcal{B}, \mathcal{D}),
$$

 $\bullet$  I  $\uparrow$   $G_1(\mathcal{A}, \mathcal{C}) \iff$  I  $\uparrow$   $G_1(\mathcal{B}, \mathcal{D})$ ,

$$
\bullet \ \mathsf{II}\uparrow \mathsf{G}_1(\mathcal{A},\mathcal{C}) \iff \mathsf{II}\uparrow \mathsf{G}_1(\mathcal{B},\mathcal{D}),
$$

$$
\bullet \ \mathsf{II} \uparrow_{\textit{mark}} G_1(\mathcal{A}, \mathcal{C}) \iff \mathsf{II} \uparrow_{\textit{mark}} G_1(\mathcal{B}, \mathcal{D}).
$$

#### Dual Games

Say  $G_1(\mathcal{A}, \mathcal{C})$  and  $G_1(\mathcal{B}, \mathcal{D})$  are **dual** if

- $\bullet$  I  $\uparrow_{pre}$   $G_1(\mathcal{A}, \mathcal{C}) \iff$  II  $\uparrow_{mark}$   $G_1(\mathcal{B}, \mathcal{D})$ .
- $\bullet$  I  $\uparrow$   $G_1(\mathcal{A}, \mathcal{C}) \iff$  II  $\uparrow$   $G_1(\mathcal{B}, \mathcal{D})$ ,
- $\bullet$  II  $\uparrow$  G<sub>1</sub>(A, C)  $\Longleftrightarrow$  I  $\uparrow$  G<sub>1</sub>(B, D),
- $\bullet$  II  $\uparrow$ <sub>mark</sub>  $G_1(\mathcal{A}, \mathcal{C}) \iff \downarrow \uparrow$ <sub>pre</sub>  $G_1(\mathcal{B}, \mathcal{D})$ .

### A Strength Hierarchy

II ↑  $_{mark}$  G<sub>1</sub>(A, B)  $\Rightarrow$  II ↑ G(A, B)  $\Rightarrow$  I  $\uparrow$  G<sub>1</sub>(A, B)  $\Rightarrow$  I  $\uparrow$ <sub>pre</sub> G<sub>1</sub>(A, B)

### $\mathsf{I}$   $\uparrow$   $_{\mathsf{pre}}$   $G_1(\mathcal{A}, \mathcal{B}) \iff S_1(\mathcal{A}, \mathcal{B})$

### Notation

- $\bullet$   $\mathcal{T}_X$  is the collection of open subsets of X,
- $\bullet$   $\Omega_X$  is the collection of all open covers U with  $X \notin U$  and so that whenever  $F \subseteq X$  is finite, there is a  $U \in \mathcal{U}$  so that  $F \subseteq U$ ,
- for  $F \subseteq X$  finite,  $\mathcal{N}(F)$  is the collection of open sets U with  $F \subseteq U$ ,

• 
$$
\mathcal{N}[X^{<\omega}] = \{\mathcal{N}(F) : F \subseteq X \text{ is finite}\},\
$$

•  $C_p(X)$  is the space of continuous functions  $f: X \to \mathbb{R}$  with the topology of point-wise convergence. Its basis is sets of the form

$$
[f; F, \varepsilon] = \{ g \in C_p(X) : (\forall x \in F) \big[ |f(x) - g(x)| < \varepsilon \big] \}.
$$

where  $F \subset X$  is finite,

- $\Omega_{X,x}$  is all sets A with  $x \in \overline{A} \setminus A$ ,
- CD<sub>x</sub> is the set of all closed discrete subsets of X
- $G_1(\Omega_X, \Omega_X)$  A modification of the Rothberger game Do nice covers have nice countable subcovers?
- $G_1(\mathcal{N}[X^{<\omega}],\neg \Omega_X)$  A modification of the point-open game What does it take to fill out the space?
- $G_1({\mathcal N}_{C_p(X)}(\mathbf{0}),\neg \Omega_{C_p(X),\mathbf{0}})$  Gruenhage's game How do neighborhoods of 0 funnel functions towards 0?
- $G_1(\mathcal{T}_{C_p(X)}, \mathsf{CD}_{C_p(X)})$  Tkachuk's game Do open sets of functions contain closed discrete subsets?
- $G_1(\Omega_{C_p(X),\mathbf{0}}, \Omega_{C_p(X),\mathbf{0}})$  (The countable fan tightness game) Do sequences witness closure?

#### Theorem (Tkachuk 2018 and Clontz-H. 2019)

Suppose X is a  $T_3$  s-space. Then

- $G_1(\mathcal{N}[X^{<\omega}],\neg \Omega_X)$ ,  $G_1(\mathcal{N}_{C_p(X)}(\mathbf{0}),\neg \Omega_{C_p(X),\mathbf{0}})$ , and  $G_1(\mathcal{T}_{C_p(X)}, \mathsf{CD}_{C_p(X)})$  are equivalent.
- $G_1(\Omega_X, \Omega_X)$  and  $G_1(\Omega_{C_p(X),0}, \Omega_{C_p(X),0})$  are equivalent.
- These groups are dual to each other.
- l  $\uparrow_{\sf pre}$   $G_1(\mathcal{T}_{C_p(X)},\mathsf{CD}_{C_p(X)})$  if and only if  $X$  is countable if and only if  $C_p(X)$  is first countable.
- $\mathcal{S}_1(\mathcal{T}_{\mathcal{C}_\rho(X)}, \mathsf{CD}_{\mathcal{C}_\rho(X)})$  if and only if  $X$  is uncountable.

What is the answer to Tkachuk's question if instead of  $C_p(X)$ , we look at  $C_k(X)$ : continuous functions  $f: X \to \mathbb{R}$  with the topology of uniform convergence on compact sets?

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#### Basic Open Sets in  $C_k(X)$

For  $f : X \to \mathbb{R}$  continuous,  $K \subseteq X$  compact, and  $\varepsilon > 0$ , set

$$
[f; K, \varepsilon] = \{g \in C_k(X) : (\forall x \in K)[|f(x) - g(x)| < \varepsilon]\}.
$$

These form a basis for the topology on  $C_k(X)$ .

- $\bullet$   $\mathcal{T}_X$  is the collection of open subsets of X,
- $\Omega_X$  is the collection of all open covers U with  $X \notin U$  and so that whenever  $F \subseteq X$  is finite, there is a  $U \in \mathcal{U}$  so that  $F \subseteq U$ ,
- for  $F \subseteq X$  finite,  $\mathcal{N}(F)$  is the collection of open sets U with  $F \subseteq U$ ,
- $\mathcal{N}[X^{<\omega}] = \{\mathcal{N}(F): F \subseteq X \text{ is finite}\}$
- $\mathcal{K}(X)$  is the set of compact subsets of X,
- $\mathcal{K}_X$  is the collection of all open covers U with  $X \notin \mathcal{U}$  and so that if  $K \subset X$  is compact, then there is a  $U \in \mathcal{U}$  so that  $K \subset U$ ,
- for  $K \subseteq X$  compact,  $\mathcal{N}(K)$  is the collection of open sets U with  $K \subset U$ .
- $\bullet$   $\mathcal{N}[\mathcal{K}(X)] = \{\mathcal{N}(K) : K \subseteq X \text{ is compact}\}\$

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Suppose X is a  $T_3$  s-space. Then

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### Answering Tkachuk's Question Again

### Theorem (Caruvana-H. 2019)

Suppose X is a  $T_3$  s-space. Then

- $G_1(\mathcal{N}[\mathcal{K}],\neg\mathcal{K}_X)$ ,  $G_1(\mathcal{N}_{C_k(X)}(\mathbf{0}),\neg\Omega_{C_k(X),\mathbf{0}})$ , and  $G_1(\mathcal{T}_{C_k(X)},\mathsf{CD}_{C_k(X)})$ are equivalent.
- $G_1(\mathcal{K}_X, \mathcal{K}_X)$  and  $G_1(\Omega_{C_k(X),0}, \Omega_{C_k(X),0})$  are equivalent.
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- $G_1(\mathcal{K}_X, \mathcal{K}_X)$  and  $G_1(\Omega_{C_k(X),0}, \Omega_{C_k(X),0})$  are equivalent.
- These groups are dual to each other.
- l  $\uparrow_{\mathsf{pre}}\mathsf{G}_1(\mathcal{T}_{\mathsf{C}_k(X)},\mathsf{CD}_{\mathsf{C}_k(X)})$  if and only if  $X$  is hemicompact if and only if  $C_k(X)$  is first countable.
- $\mathcal{S}_1(\mathcal{T}_{C_k(X)}, \mathsf{CD}_{C_k(X)})$  if and only if  $X$  is not hemicompact.

Note: Arens, Aurichi, Dias, Kočinac, Osipov, Scheepers, and others have shown similar connections between X,  $C_p(X)$ , and  $C_k(X)$ .

### **Definition**

X is **hemicompact** if there is a sequence of compact sets  $K_n$  so that  $X=\bigcup_n K_n$  and whenever  $L\subseteq X$  is compact, there is an  $n$  so that  $L\subseteq K_n.$ 

Hemicompact is strictly stronger than  $\sigma$ -compact.



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# Questions

- **1** How can we use these games to assert that X is  $\sigma$ -compact?
- <sup>2</sup> What's really happening behind the scenes that allows these results to be so similar?

## The Big Picture

The following correspondences are really what matters here:

- $F \subseteq X$  finite generates  $[0; F, 2^{-n}]$
- The range of a choice function for  $\mathcal{N}[X^{<\omega}]$  forms a cover  $\mathcal{U}\in\Omega_{\mathcal{X}}$
- Given a basic open set  $U = [f, F, \varepsilon] \subseteq C_p(X)$  and another open set  $V \subset X$  with  $F \subset X$ , there is a function g so that  $g \in U$  and  $g[X \setminus V] = \{n\}$
- The range of a choice function for  $\mathcal{N}_{C_{\rho}(X)}(\boldsymbol{0})$  forms a set  $\mathcal{F} \in \Omega_{\mathcal{C}_\rho(X),\mathbf{0}}.$

All of this happens in the compact-open setting as well.

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- The range of a choice function for  $\mathcal{N}_{C_{\rho}(X)}(\boldsymbol{0})$  forms a set  $\mathcal{F} \in \Omega_{\mathcal{C}_\rho(X),\mathbf{0}}.$

All of this happens in the compact-open setting as well.

#### Goals

- **4** Generalize these objects and their relationships.
- 2 Build a device to show that games are equivalent/dual using only the relationships between the objects.

### Even More Notation

Suppose  $A \subseteq \mathcal{P}(X)$ .

- $\bullet$   $\mathcal{O}(X, \mathcal{A})$  is the collection of all open covers U with  $X \notin \mathcal{U}$  and so that if  $A \in \mathcal{A}$ , then there is a  $U \in \mathcal{U}$  so that  $A \subseteq U$ .
- For  $A \in \mathcal{A}$ ,  $\mathcal{N}(A)$  is the set of all open sets U with  $A \subseteq U$ .

$$
\bullet \mathcal{N}[\mathcal{A}] = \{\mathcal{N}(\mathcal{A}) : \mathcal{A} \in \mathcal{A}\}.
$$

•  $C_A(X)$  is the set of continuous functions  $f : X \to \mathbb{R}$  with topology generated by sets of the form

$$
[f; A, \varepsilon] = \{ g \in C_{\mathcal{A}}(X) : (\forall x \in A) [ |f(x) - g(x)| < \varepsilon ] \}
$$

# Generalizing Hemicompactness

The following is inspired by Gartside's work with the Tukey order and Telgársky's work on the point-open game.

#### Definition

Suppose  $\mathbb{P} = (P, \leq)$  is a partial order and that  $A, B \subseteq \mathbb{P}$ . We say

 $\operatorname{cof}(\mathcal{A};\mathcal{B},\leq)=\omega$ 

if there is a sequence of  $A_n \in \mathcal{A}$  so that for all  $B \in \mathcal{B}$ , there is an n so that  $B < A_n$ .

- $\operatorname{cof}(X^{<\omega};X^{<\omega},\subseteq) = \omega$  if and only if X is countable.
- $\operatorname{cof}(\mathcal{K}(X); X^{{<}\omega}, \subseteq) = \omega$  if and only if X is  $\sigma$ -compact.
- cof( $\mathcal{K}(X)$ ;  $\mathcal{K}(X)$ ,  $\subseteq$ ) =  $\omega$  if and only if X is hemicompact.

Suppose X is  $T_{3.5}$  and  $\mathcal{A} \subseteq \mathcal{P}(X)$ .

- $\bullet$  A is an **ideal base** if whenever  $A_1, A_2 \in \mathcal{A}$ , there is an  $A_3 \in \mathcal{A}$  so that  $A_1 \cup A_2 \subset A_3$ .
- $\bullet$  X is A-normal if whenever  $A \in \mathcal{A}$ , U is open, and  $A \subseteq U$ , there is a continuous function  $f : X \to \mathbb{R}$  so that  $f[A] = \{0\}$  and  $f[X \setminus U] = \{1\}.$
- $\bullet$  A is R-bounded if for all  $A \in \mathcal{A}$  and  $f : X \to \mathbb{R}$  continuous,  $f[A]$  is bounded.

#### Theorem (Caruvana-H. 2019)

Let X be a  $T_{3.5}$ -space and  $A, B \subseteq \mathcal{P}(X)$  be ideal bases. Suppose A consists of closed sets,  $X$  is  $A$ -normal, and  $B$  is  $\mathbb R$ -bounded.

- $G_1(\mathcal{N}[\mathcal{A}],\neg \mathcal{O}(X,\mathcal{B}))$ ,  $G_1(\mathcal{N}_{C_\mathcal{A}(X)}(\mathbf{0}),\neg \Omega_{C_\mathcal{B}(X),\mathbf{0}})$ , and  $G_1(\mathcal{T}_{C_{\mathcal{A}}(X)}, \mathsf{CD}_{C_{\mathcal{B}}(X)})$  are equivalent.
- $G_1(\mathcal{O}(X,\mathcal{A}),\mathcal{O}(X,\mathcal{B}))$  and  $G_1(\Omega_{C_{\mathcal{A}}(X),\mathbf{0}},\Omega_{C_{\mathcal{B}}(X),\mathbf{0}})$  are equivalent.
- These groups are dual to each other.
- l  $\uparrow_{\mathsf{pre}}$   $G_1(\mathcal{T}_{\mathcal{C}_\mathcal{A}(X)}, \mathsf{CD}_{\mathcal{C}_\mathcal{B}(X)})$  if and only if

 $\mathsf{cof}(\mathcal{A} \times \omega; \mathcal{B} \times \omega, \subseteq) = \mathsf{cof}(\mathcal{N}_{\mathcal{C}_\mathcal{A}(\mathcal{X})}(\mathbf{0}); \mathcal{N}_{\mathcal{C}_\mathcal{B}(\mathcal{X})}(\mathbf{0}), \supseteq) = \omega$ 

#### Corollary (Caruvana-H. 2019)

 $\mathcal{S}_1(\mathcal{T}_{\mathcal{C}_k(X)}, \mathsf{CD}_{\mathcal{C}_\rho(X)})$  if and only if  $X$  is not  $\sigma$ -compact.

Clontz has developed a general tool for showing that two games are dual. We used this throughout.

- Let choice $(\mathcal{A})$  be the set of functions  $\mathcal{C}:\mathcal{A}\to\bigcup\mathcal{A}$  so that  $\mathcal{C}( \mathcal{A})\in\mathcal{A}$ .
- $\bullet$  A is a selection basis for B if whenever  $B \in \mathcal{B}$ , there is an  $A \in \mathcal{A}$  so that  $A \subseteq B$
- $\bullet$  R is a reflection of A if

$$
\{\mathsf{ran}(C): C \in \mathsf{choice}(\mathcal{R})\}
$$

is a selection basis for A.

#### Theorem (Clontz 2018)

If R is a reflection of A, then  $G_1(A, B)$  and  $G_1(\mathcal{R}, \neg B)$  are dual.



#### **Definition**

Say that  $G_1(\mathcal{A}, \mathcal{C}) \leq_{\Pi} G_1(\mathcal{B}, \mathcal{D})$  if

$$
\bullet \ \mathsf{I}\nmid_{\text{pre}} G_1(\mathcal{A},\mathcal{C}) \implies \mathsf{I}\nmid_{\text{pre}} G_1(\mathcal{B},\mathcal{D}),
$$

$$
\bullet \, \bot \npreceq G_1(\mathcal{A}, \mathcal{C}) \implies \bot \npreceq G_1(\mathcal{B}, \mathcal{D}),
$$

$$
\bullet\ \mathsf{II}\uparrow \mathsf{G}_1(\mathcal{A},\mathcal{C})\implies \mathsf{II}\uparrow \mathsf{G}_1(\mathcal{B},\mathcal{D}),\ \mathsf{and}\\
$$

$$
\bullet \ \mathsf{II}\uparrow_{\mathsf{mark}} G_1(\mathcal{A}, \mathcal{C}) \implies \mathsf{II}\uparrow_{\mathsf{mark}} G_1(\mathcal{B}, \mathcal{D}).
$$

This is transitive and if  $G_1(\mathcal{A}, \mathcal{C}) \leq_{\text{II}} G_1(\mathcal{B}, \mathcal{D})$  and  $G_1(\mathcal{B}, \mathcal{D}) \leq_{\text{II}} G_1(\mathcal{A}, \mathcal{C})$ , then the games are equivalent.



### Showing Games Are Equivalent

### Theorem (Caruvana-H. 2019)

Let  $A$ ,  $B$ ,  $C$ , and  $D$  be collections. Suppose there are functions  $\overleftarrow{\mathcal{T}}_{1,n}:\mathcal{B}\rightarrow\mathcal{A}$  and  $\overrightarrow{T}_{\Pi,n}:\bigcup \mathcal{A}\times\mathcal{B}\to\bigcup \mathcal{B}$ so that  $\textbf{D}$  if  $x \in \overleftarrow{\mathcal{T}}_{1,n}(B)$ , then  $\overrightarrow{\mathcal{T}}_{11,n}(x,B) \in B$  and  $\textbf{2}$  if  $x_n \in \overleftarrow{\mathcal{T}}_{1,n}(B_n)$  for all  $n,$  then  $\{x_n : n \in \omega\} \in \mathcal{C} \implies \{\overrightarrow{f}\}_{\mathsf{II},n}(x_n,B_n) : n \in \omega\} \in \mathcal{D}$ Then  $G_1(\mathcal{A}, \mathcal{C}) \leq \mathcal{C}_1(\mathcal{B}, \mathcal{D})$ .

## Showing Games Are Equivalent

#### Theorem (Caruvana-H. 2019)

Let  $A$ ,  $B$ ,  $C$ , and  $D$  be collections. Suppose there are functions  $\overleftarrow{\mathcal{T}}_{1,n}:\mathcal{B}\rightarrow\mathcal{A}$  and  $\overrightarrow{T}_{\Pi,n}:\bigcup \mathcal{A}\times\mathcal{B}\to\bigcup \mathcal{B}$ so that  $\textbf{D}$  if  $x \in \overleftarrow{\mathcal{T}}_{1,n}(B)$ , then  $\overrightarrow{\mathcal{T}}_{11,n}(x,B) \in B$  and  $\textbf{2}$  if  $x_n \in \overleftarrow{\mathcal{T}}_{1,n}(B_n)$  for all  $n,$  then  $\{x_n : n \in \omega\} \in \mathcal{C} \implies \{\overrightarrow{f}\}_{\mathsf{II},n}(x_n,B_n) : n \in \omega\} \in \mathcal{D}$ Then  $G_1(\mathcal{A}, \mathcal{C}) \leq \mathcal{C}_1(\mathcal{B}, \mathcal{D})$ .

(We also generalized results from Tkachuk and Pawlikowski to go from full strategies to limited strategies)

# <span id="page-29-0"></span>Thanks for Listening

